Quality improvement science

Evidence-based healthcare and quality improvement

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Introduction

For quality improvement initiatives to be effective, they should be based on sound evidence. However, there are two main considerations relating to this evidence base. First, the intervention or interventions that the quality improvement initiative seeks to implement should have evidence of benefit: they should lead to improvements in patient outcomes that are, ideally, both clinically important and cost-effective. Evidence that translates basic research into its clinical application through new health technologies (either products or approaches) has been termed the ‘first translational gap’. Second, quality improvement initiatives should be based on sound evidence of what works to implement these products or approaches. This is the ‘second translational gap’, which forms the basis of quality improvement and implementation science.1 We now consider evidence-based healthcare in the context of both these translational gaps.

What is evidence-based healthcare?

How much of what health and other professionals do is based soundly in science? Answers to the question ‘is our practice evidence based?’ depend on what we mean by practice and what we mean by evidence. This varies from discipline to discipline. A study in general practice found that around 31% of therapeutic clinical decisions were based on evidence from randomised controlled trials (RCTs), whereas 51% were based on convincing non-experimental evidence.2

Sackett et al defined evidence-based medicine (EBM) as ‘the conscientious, explicit, and judicious use of current best evidence in making decisions about the care of individual patients [integrating individual clinical expertise with the best available external clinical evidence from systematic research]’.3 The expansion of EBM has been a major influence on clinical practice over the last 20 years. The demands of purchasers of...
healthcare keen to optimise value for money have been one driver. A growing awareness among health professionals and their patients of medicine’s potential to cause harm has been another. In this article, we examine the nature of what is nowadays more broadly referred to as evidence-based healthcare (EBHC) in the context of quality improvement and discuss its strengths and limitations.

The tools necessary for evidence-based healthcare

The tools needed to practice in an evidence-based way are common across healthcare disciplines. Doctors, nurses and allied health professionals all need the skills to ensure that the work they do – whether with individual clients or patients, or in the development of policies for quality improvement – is based on sound knowledge of what is likely to work.

Of the following five essential steps, the first is probably the most important:

- convert information needs into answerable questions, i.e. by asking a focused question
- track down the best available evidence
- appraise evidence critically
- change practice in the light of evidence
- evaluate your performance.

Step 1. Asking a focused question

Before seeking the best evidence, you need to convert your information needs into a tightly focused question. For example, it is not enough to ask ‘Are antibiotics effective for otitis media?’ We need to convert this into an answerable question: ‘Do antibiotics reduce the duration of symptoms when prescribed to children with otitis media?’

The PICO approach can be used as a framework to focus a question by considering the necessary elements. It contains four components:

- Patient or population (children under 5 years)
- Intervention (antibiotics)
- Comparison intervention (placebo)
- Outcome (duration of specific symptoms, e.g. pain, or rate of complications).

Question

Form a focused clinical question using the PICO format to find the evidence for the effectiveness of smoking-cessation interventions in adult smokers who have had a heart attack.

Answer

- P Adult smokers who have had a heart attack.
- I Providing smoking cessation intervention.
- C Providing usual care.
- O Mortality and quit rates.

This gives us the question ‘In smokers who have had a heart attack does a smoking-cessation intervention in comparison with usual care reduce mortality and improve quit rates?’

Step 2. Tracking down the evidence

The second step in the practice of evidence-based healthcare is to track down the best evidence. Doctors and nurses often assess outcomes in terms of surrogate pathological end points rather than commonplace changes in quality of life or the ability to perform routine activities (‘the operation was a success, but the patient died’).

Traditionally, doctors making decisions about what works have attached much weight to personal experience or the views of respected colleagues. Over time, knowledge of up-to-date care diminishes so there is a constant need for the latest evidence and simple ways to access and use it. A study of North American physicians has shown that up-to-date clinical information is needed twice for every three patients seen, but they only receive 30% of this due to lack of time, dated textbooks and disorganised journals. Rather than relying on colleagues or textbooks, EBHC encourages the use of research evidence in a systematic way. Once a question has been formulated, the research base is then searched to find articles of relevance.

So what counts as evidence? Care needs to be taken in relying on published articles. Many reviews reflect the prejudices of their authors and are anything but systematic. Even mainstream journals tend to accept articles yielding positive rather than negative findings, for example, in assessing treatments, so-called ‘publication bias’. Most books date rapidly. Hence the prominence nowadays accorded to properly conducted systematic reviews which are placed at the top of a ‘hierarchy’ of evidence. A widely used ranking of the strength of evidence is shown in Table 1.

Table 1 reminds us of the three main types of epidemiological study design: descriptive, observational and interventional. When searching for evidence, we should look for the highest level suitable to our question. A question relating to the effectiveness of an intervention will most appropriately be answered by an RCT or a systematic review of RCTs. The RCT is widely regarded as the ‘gold standard’ method for determining effectiveness because robust randomisation ensures that study and control groups differ only in terms of their exposure to the factor under
study; the observed results are due only to the intervention and not to alternative explanations (so called confounding variables). The Scottish Intercollegiate Guidelines Network (SIGN) takes into account the potential biases in its hierarchy of evidence. We can find answers to questions about the causes of a disease from case–control or cohort studies. However, questions beginning 'Why?' or 'How?' are often not answered by these types of study. What factors, after all, go to make a ‘good nurse’ or a ‘good general practitioner’ and how easily are they measured? It is not possible to answer the question ‘Why do women refuse an offer of breast screening?’ with any of the study types mentioned so far. Another example would be: ‘How do medicines get prescribed inappropriately in older patients?’ In these cases, one looks for a qualitative study. Qualitative studies use methods such as interviews, diaries and direct observation to provide detailed information to describe the experiences of participants. Qualitative data are then analysed rigorously to lead to conclusions about why or how something might have occurred. Detailed coverage of qualitative methodology is beyond the scope of this article, but it is important to remember that not every question can be answered using the classical hierarchy above. Qualitative methods can generate a wealth of knowledge to contextualise many of the decisions health professionals must make.

**Question**

Consider the questions below. What studies would be most appropriately conducted to answer them: RCT, cohort, case–control, cross-sectional or qualitative?

a. For what conditions do patients call their GP out of hours?

b. What are the barriers to hand washing in healthcare settings?

c. Does paternal exposure to ionising radiation before conception cause childhood leukaemia?

d. What is the most sensitive and specific method of screening for genital chlamydial infection in women attending general practice?

e. Does laparoscopic cholecystectomy cause less morbidity and a swifter return to work than a small-incision cholecystectomy?

f. Do clinicians change their practice as a result of education?

g. For a given patient with asthma, does beclometasone give better symptomatic control than fluticasone?

h. How do patients and carers view the service provided by a mental health team?

i. How does smoking cessation affect the risk of stroke in middle-aged men?

**Answer**

a. Cross-sectional study.

b. Qualitative study.

c. Case–control study.

d. Cross-sectional study.

e. Randomised controlled trial.

f. Cohort study.

g. Randomised controlled trial.

h. Qualitative study

i. Cohort study.

There are various primary and secondary sources of evidence. Primary sources are the thousands of original articles published every year in research journals. However, to deal with the vast amount of information available, more and more people now turn to secondary
sources of evidence. The most important source of systematic reviews is the Cochrane Database (www.cochrane.org). The Cochrane Collaboration (named after Archie Cochrane, an early pioneer of EBM) is an international endeavour to summarise high-quality evidence in all fields of medical practice. It has slowly transformed many areas of clinical practice.

It is important to have basic skills in searching the literature, although the help of expert librarians may be needed. Research papers are catalogued in a variety of databases searchable on the internet. For many medical or public health queries the database Medline is a good starting place. Other databases are available for specialist queries such as those in the fields of mental health and nursing. Using the PICO format here is helpful as it can be used to generate search terms with which to query the databases. Databases may have tools to support the user in this such as the ‘Clinical Queries’ tool in PubMed, which is a US National Library of Medicine’s service to search the biomedical research literature.

We can use our example question from earlier to demonstrate how a search might work. Our focused question was ‘In smokers who have had a heart attack does a smoking-cessation intervention in comparison with usual care reduce mortality and improve quit rate?’

**Question**
What study type would be appropriate for answering this question?

**Answer**
Randomised controlled trials are possible, where smokers who have had a heart attack are randomised to receive smoking-cessation intervention or usual care, to give a measure of the relative effectiveness of smoking-cessation intervention.

**Question**
Using the PICO format, list the key words we need to use to search databases through a search function such as PubMed’s Clinical Queries.

**Answer**
Smokers, heart attack, cessation, counselling, mortality. In Clinical Queries, as we select an option to indicate our interest is in therapy (i.e. intervention studies) the term ‘randomised controlled trial’ is automatically added to the key words. In other search systems or databases this may need to be added manually.

The journal articles found using this strategy are:

**Step 3. Appraising the evidence**

To determine whether we should act on the results of the studies found in the search, we must be able critically to appraise a range of study types. An understanding of some basic epidemiological concepts is needed to understand the methods used and the results presented. We are looking to decide whether the results are valid enough to change our practice. In order to do this, we ask a series of questions about the study which include:

- Did the research ask a clearly focused question and carry out the right sort of study to answer it?
- Were the study methods robust?
- Do the conclusions made match the results of the study? (Might the results have been due to chance? Were they ‘big’ enough to make a real difference?)
- Can we use these results in our practice?

There are standard checklists available to support systematic appraisal of different types of study designs. We can use these to help determine how valid the findings of the study are, and whether the findings can be generalised to our own population.

Table 2 shows a checklist for appraising an RCT, the most appropriate primary design to generate evidence of effective interventions. This checklist is taken from...
### Table 2 CASP critical appraisal tool for systematic reviews

#### Screening questions

1. Did the review ask a clearly focused question? Yes/Can’t tell/No  
   Consider if the question is ‘focused’ in terms of:  
   • the population studied  
   • the intervention given or exposure  
   • the outcomes considered.

2. Did the review include the right type of study? Yes/Can’t tell/No  
   Consider if the included studies:  
   • address the review’s question  
   • have an appropriate study design  
   • Is it worth continuing?

#### Detailed questions

3. Did the reviewers try to identify all relevant studies? Yes/Can’t tell/No  
   Consider:  
   • which bibliographic databases were used  
   • if there was follow-up from reference lists  
   • if there was personal contact with experts  
   • if the reviewers searched for unpublished studies  
   • if the reviewers searched for non-English-language studies.

4. Did the reviewers assess the quality of the included studies? Yes/Can’t tell/No  
   Consider:  
   • if a clear, pre-determined strategy was used to determine which studies were included.  
   Look for:  
   • a scoring system  
   • more than one assessor.

5. If the results of the studies have been combined, was it reasonable to do so? Yes/Can’t tell/No  
   Consider whether:  
   • the results of each study are clearly displayed  
   • the results were similar from study to study (look for tests of heterogeneity)  
   • the reasons for any variations in results are discussed.

6. How are the results presented and what is the main result?  
   Consider:  
   • how the results are expressed (e.g. odds ratio, relative risk, etc.)  
   • how large this size of result is and how meaningful it is  
   • how you would sum up the bottom-line result of the review in one sentence.

7. How precise are these results?  
   Consider:  
   • if a confidence interval were reported. Would your decision about whether or not to use this  
     intervention be the same at the upper confidence limit as at the lower confidence limit?  
   • if a P-value is reported where confidence intervals are unavailable.

8. Can the results be applied to the local population? Yes/Can’t tell/No  
   Consider whether:  
   • the population sample covered by the review could be different from your population in ways that  
     would produce different results  
   • your local setting differs much from that of the review  
   • you can provide the same intervention in your setting.
the Critical Appraisal Skills Programme (CASP) in Oxford (www.casp-uk.net).

It is important to be able to critically analyse the results of all study types but, as the volume of scientific literature increases, it is perhaps most important to be able to use systematic reviews effectively to guide practice. It has been estimated that a general physician needs to read for 119 hours a week to keep up to date; medical students are alleged to spend one to two hours reading clinical material per week – and that is more than the doctors who teach them.12 Also, a single study of insufficient sample size or of otherwise poor quality may yield misleading results. The right answer to a specific question is more likely to come from a systematic review. This is a review of all the literature on a particular topic, which has been methodically identified, appraised and presented. The statistical combination of all the results from included studies to provide a summary estimate or definitive result is called meta-analysis.

Step 4. Changing practice in light of evidence

Following through on the results of your appraisal of new evidence – implementation – is arguably the most difficult of the five steps. Some change can be self-initiated; other circumstances require change in those around you. The implementation of effective interventions often requires change in others. The management of people and an understanding of how they will react to change are invaluable.13 Implementation strategies may be classified according to the target of the intervention (e.g. patients, providers or systems), the type of intervention (e.g. education, reminders, feedback) or the social theory (e.g. social influence, marketing) that underpins the intervention. The evidence for different types of intervention varies (Box 1).

Theoretical models of change and evidence can help us to determine how to implement change. For example, the three main contributors to change are the evidence that underlies the change, the interventions (or facilitators) used to bring about improvement and the context for transformation. The context includes the change agents or various individuals and organisations involved in producing change, including the patient, the provider (healthcare professional), the healthcare team and the various other supporting organisations involved. Quality improvement and implementation efforts will need to embrace this complexity.15

### Box 1 Evidence of effectiveness of interventions to change professional behaviour14

There is good evidence to support:

- **Multifaceted interventions.** By targeting different barriers to change, these are more likely to be effective than single interventions.
- **Educational outreach.** This is generally effective in changing prescribing behaviour in North American settings. On-going trials will provide rigorous evidence about the effectiveness of this approach in UK settings.
- **Reminder systems.** These are generally effective for a range of behaviours.

There are mixed effects in the following:

- **Audit and feedback.** These need to be used selectively.
- **Opinion leaders.** These need to be used selectively.

There is little evidence to support:

- **Passive dissemination of guidelines.** However, there is some evidence to support use of guidelines if tailored to local needs and associated with reminders.

### Table 2 Continued

<table>
<thead>
<tr>
<th>Question</th>
<th>Response</th>
</tr>
</thead>
<tbody>
<tr>
<td>9. Were all important outcomes considered?</td>
<td>Yes/Can’t tell/No</td>
</tr>
<tr>
<td>Consider outcomes from the point of view of the:</td>
<td></td>
</tr>
<tr>
<td>- individual</td>
<td></td>
</tr>
<tr>
<td>- policy makers and professionals</td>
<td></td>
</tr>
<tr>
<td>- family/carers</td>
<td></td>
</tr>
<tr>
<td>- wider community.</td>
<td></td>
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<tr>
<td>10. Should policy or practice change as a result of the evidence contained in this review?</td>
<td>Yes/Can’t tell/No</td>
</tr>
<tr>
<td>Consider:</td>
<td></td>
</tr>
<tr>
<td>- whether any benefit reported outweighs any harm and/or cost. If this information is not reported can it be filled in from elsewhere!</td>
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Evidence is only one influence on our practice. Education alone may not change deeply ingrained habits, e.g. patterns of prescribing. Knowledge does not necessarily change practice. This is true for practitioners and patients or the public. An example is the continued use by patients of complementary therapies, which professionals consider to be ineffective.22

Hence, we need to consider employing other mechanisms to stimulate change and improvement. These include regulation23 and commissioning.24 Commissioning or purchasing can also include financial incentives, which are used to promote interventions known to be effective (e.g. target payments to increase immunisation uptake). In the NHS, the Quality and Outcomes Framework (QOF) system of pay-for-performance was introduced in 2004 to improve the quality of clinical care and promote evidence-based practice,25 but the evidence for its effectiveness is mixed.26

The most strident criticisms of EBHC have come from those physicians who resent intrusions into their clinical freedom. The use of evidence-based protocols has been demeaned as ‘cookbook medicine’.27 A more powerful philosophical argument is mounted by those arguing that a rigid fixation on RCTs risks ignoring important qualitative sources of evidence.28

In addition, there may be times when high-quality evidence simply does not exist. This should not prevent action! The lack of RCTs does not mean an intervention is ineffective, it means that there is no evidence that it is effective, a clear distinction. In these cases, one has to use the best evidence available. When no research evidence exists there is nothing wrong with asking colleagues for their opinions; the practice of EBHC simply means we should at least carry out the search.

In conclusion, the terms ‘evidence-based medicine’ and ‘evidence-based healthcare’ were developed to encourage practitioners and patients to pay due respect – no more, no less – to current evidence in making decisions. Evidence should enhance healthcare decision making, not rigidly dictate it.29 Practitioners need to consider the health and social care needs of the practice population and what effective interventions are available to meet them. Finally, the practitioner must consider individual or societal preferences.

REFERENCES


27 Charlton BG and Miles A. The rise and fall of EBM. *QJM* 1998;91:371–4.


**PEER REVIEW**

Commissioned; not externally peer reviewed.

**CONFLICTS OF INTEREST**

None declared.

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